

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

CT 167069492 #2
29 SEPTEMBER 2000 (29.09.00)

REC'D 11 OCT 2000

WIPO PCT

PA 293329

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

CA00100949
4

August 22, 2000

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/149,697

FILING DATE: August 20, 1999

**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)



By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS

L. Edelen

L. EDELEN
Certifying Officer

PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53 (b)(2).

Docket Number			14226-3 "USPR" FC/MG	Type a plus sign (+) inside this box →	+
INVENTOR(S)/APPLICANT(S)					
LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)		
GHADIRIAN ALAOUI-JAMALI	Parviz Moulay	A.	St. Leonard, Québec, Canada Laval, Québec, Canada		
TITLE OF THE INVENTION (280 characters max)					
ANTINEOPLASTIC ISOLATED AND PURIFIED EXTRACT FROM ACHILLEA MILLEFOLIUM					
CORRESPONDENCE ADDRESS					
France Côte SWABEY OGILVY RENAULT 1981 McGill College Avenue, Suite 1600, Montréal					
STATE	Québec	ZIP CODE	H3A 2Y3	COUNTRY	Canada
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/>	Specification	Number of Pages	11	<input type="checkbox"/>	Small Entity Statement
<input checked="" type="checkbox"/>	Drawings Sheets	Number of	4	<input type="checkbox"/>	Other (specify)
METHOD OF PAYMENT (check one)					
<input type="checkbox"/>	A check or money order is enclosed to cover the Provisional filing fees				
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge filing fees and credit Deposit Account Number		19-5113	PROVISIONAL FILING FEE AMOUNT (\$)	\$150.00

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No☐ Yes, the name of the U.S. Government agency and the Government contract number are: _____

Respectfully submitted,

SIGNATURE

France Côté

Date

20/08/99

TYPED or PRINTED NAME

France Côté

REGISTRATION NO.
(if appropriate)

37,037



Additional inventors are being named on separately numbered sheets attached hereto.

PROVISIONAL APPLICATION FILING ONLY

Duration Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Office of Assistance, Quality and Enhancement Division, Patent and Trademark Office, Washington, DC 20231, and to the Office of Information and Regulatory Affairs, Office of Management and Budget (Project 0431-0037), Washington, DC 20503. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

- 1 -

ANTINEOPLASTIC ISOLATED AND PURIFIED EXTRACT FROM
ACHILLEA MILLEFOLIUM

BACKGROUND OF THE INVENTION

5 (a) Field of the Invention

The invention relates to isolated and purified plant extracts, and more particularly to isolated and purified extracts from *Achillea millefolium*, to treat and prevent neoplastic disorders.

10 (b) Description of Prior Art

Yarrow (*Achillea millefolium* LINNAEUS) is an important member of the Asteraceae branch of the Compositae, the daisy family. Yarrow is also known as milfoil staunch weed, nosebleed, soldier's herb, 15 carpenter's wort, thousand weed, woundwort, bloodwort boomadaran and knight's milfoil. There are about 100 different species of yarrow that grow mainly in temperate regions of the world.

Yarrow is said to have been used by the Greek 20 hero Achilles to stop the bleeding of his warrior's wounds. Yarrow is used as a medicinal plant in different parts of the world, as an haemostatic, emmenagogue, antipyretic and diaphoretic in cases of common cold. An infusion is generally made from 25 *Achillea millefolium*, which is also used for lack of appetite, cramps, flatulence and other stomach-related disorders. Aboriginal people and pioneers also use yarrow as a tea to treat digestive disorders and fever, and as a poultice to treat cuts and burns, and chewed 30 the leaves thereof to relieve toothache pain.

Yarrow has long been associated with the healing of wounds and the steaming of blood flow. The existing literature indicates that yarrow improves colon and liver function, is good against anemia, liver 35 disease, skin disease, eczema, liver, psoriasis and rashes, as well as for treating cold, flu, fever,

660280-2694109

- 2 -

hypertension, painful menstruation and bleeding. The value of yarrow as an anti-spasmodic and diuretic agent, and as an anti-inflammatory and antiseptic compound, has been demonstrated.

5 The use of yarrow tea against cancer is known. In Iran, for example, people have been using yarrow tea for cancer for several hundreds of years. Yarrow tea has been used in different parts of the world for centuries without manifesting toxicity or side effects,
10 and in the United States and Canada, some cancer patients have been taking yarrow as an alternative medicine. However, no proven anticancer activity has been reported.

 Antitumor sesquiterpenoids have been identified
15 and isolated as methyl esters from *Achillea millefolium*, namely achimillic acids A, B, and C. These compounds are active against mouse P-388 leukemia cells in vivo (Tozyo et al., 1994, Chem. Pharm. Bull. 42:1096-1100).

20 Known constituents of yarrow consist of essential oils, namely cineol, proazulene and achilleine.

 Neoplastic disorders such as cancer are treated with agents which are generally toxic with severe side-
25 effects.

 It would therefore be highly desirable to be provided with a purified, biologically active fraction isolated from *Achillea millefolium* that would have an anticancer activity, and that could be used to treat or
30 prevent diseases such as cancer.

SUMMARY OF THE INVENTION

 One aim of the present invention is to provide purified, biologically active fractions isolated from

50149697.082099

- 3 -

Achillea millefolium that may be used to treat or prevent disorders such as cancer.

In accordance with the present invention there is provided a purified, biologically active extract isolated from *Achillea milefolium*, the extract having an antineoplastic activity.

The extract may consist of a crude methanol extract.

Such an extract may be used to treat or prevent a neoplastic disorder.

The extract according to the present invention, may be used in a composition, in association with a pharmaceutically suitable carrier, to treat or prevent cancer.

The composition may be administered to a patient susceptible of developing or suspected of having a cancer, in an amount efficient to treat or prevent the cancer.

BRIEF DESCRIPTION OF THE DRAWINGS

Having thus generally described the nature of the invention, reference will now be made to the accompanying drawings, showing by way of illustration, a preferred embodiment thereof, in which:

Fig. 1 illustrates the tracing obtained with the analytical HPLCs of the extracts in accordance with the present invention;

Fig. 2 illustrates the fractions obtained with a large scale;

Fig. 3 illustrates a dose-response relationship for a methanol extract; and

Fig. 4 illustrates a dose-response relationship for fractions of methanol extracts.

35

660280-7696105

- 4 -

DETAILED DESCRIPTION OF THE INVENTION

In accordance with the present invention, there are provided purified, biologically active fractions isolated from *Achillea millefolium* to treat diseases
5 such as cancer.

Fractions from *Achillea millefolium* LINNAEUS have been isolated. The purified fractions were administered to animals in which cancer was induced. No toxicity was observed at the doses administered.
10 Moreover, the isolated organic soluble fractions have an antimetastatic activity in a mouse cancer model. The isolated active fractions contain biologically active molecules that may be used to treat diseases including cancer.

15 More particularly, the crude methanol fraction had a good antimetastatic activity in the Lewis lung carcinoma model.

The animal model published by Tozyo et al. consists of a mouse leukemia P388 cell model. Tozyo et al. (supra) injected both cells and drugs
20 intraperitoneally. This does not mimic physiological/pharmacological conditions observed in human cancer. Indeed, the conditions in Tozyo et al. resemble that of a petri dish where both the target
25 and the drug are in direct contact. According to the present invention, the cells are injected subcutaneously to the Lewis lung carcinoma model. The cells then invade a distant site, such as lung, and form metastases. The test article is given by
30 intraperitoneal route. Accordingly, the active component(s) need to be absorbed, perhaps metabolized, before acting on primary tumors and/or metastases. This is closer to human disease in term of the growth versus multistep mechanisms of invasion.

660280-26964109

- 5 -

As may be seen in Fig. 3, a dose-response relationship was observed.

As may be seen in Fig. 4, the E1, E2 and E4 fractions were the most active in inhibiting lung metastases.

Molecule(s) responsible for the biological activity of the extracts may be identified and characterized. The(se) molecule(s) may then be used to treat or prevent cancer, leukemias, as well as other diseases.

The fractions and the molecule(s) contained therein are therefore advantageous over the whole plant, or teas made from the plant.

The present invention will be more readily understood by referring to the following examples, which are given to illustrate the invention rather than to limit its scope.

EXAMPLE I

Fractionation

Dried plant was grounded, and then stirred in methanol at 25°C for 48h. The resulting extract was filtered and treated with fresh methanol for another 48h. The combined extracts were filtered, evaporated and analyzed by HPLC. Analytical HPLC (Waters™ 600, Photodiodearray™ 996 was performed with two Whatman Partisil™ 10 ODS-2 analytical columns in series (4.6 x 250 mm). The gradient used consisted of 25-100% acetonitrile in water, 50 min gradient at a flow rate of 1 ml/min. Three fractions were identified according to retention times, namely the fractions 0-10, 11-22 and 23-60. The tracing of this analytical HPLC is shown in Fig. 1.

A large scale was then used. Briefly, 2 grams from methanol extract were dissolved in glass-distilled

60149697.082099

- 6 -

methanol and filtered, and three separations were performed with one Partisil™ 10 ODS-2 MAG-20 preparative column (22 x 500 mm) with the following gradient: 25-100% acetonitrile in water, 50 min. gradient at a flow rate of 18 ml/min. Four fractions were collected for each injection according to the following retention times: F1: 4.63-15.9; F2: 15.9-24.4; F3: 24.4-40.2; and F4: 40.2-60. The fractions are shown in Fig. 2.

The fractions were freshly solubilized in ethanol (final concentration is less than 20% of distilled water), and immediately used for in vivo studies or stored at -80°C.

EXAMPLE II

Lewis lung carcinoma (LLC) cell line and cell culture

The Lewis lung carcinoma (LLC) clone, M47, with a high metastatic potential to the lung, was established and characterized (Brodt P, Cancer Res., 46: 2442, 1986). These cells were confirmed free of mycoplasma infection. Cells were maintained in RPMI-1640 medium supplemented with 10% fetal bovine serum and 1% penicillin-streptomycin, under 5% CO₂. Cells were passaged twice a week. Stocks of cells were generated and stored as early passages (passage no. 8-10). Cells were then propagated and stocks of the same passages were established and stored in liquid nitrogen for further experiments.

For tumor induction, cells were grown to 70% confluence in complete medium and then collected using trypsin-EDTA solution (0.05% trypsin, 0.53 mM EDTA-4Na in HBSS without Ca⁺⁺, Mg⁺⁺, and NaHCO₃; Cellgro no. 25-052-Li]. Cells were then centrifuged and washed three times with phosphate buffer solution (D-PBS, Ca⁺⁺ and Mg⁺⁺ free; Cellgro no. 21-031-LV), and resuspended at a

660280-4696709

- 7 -

dilution of $0.1-1 \times 10^6$ cells/0.1 ml. Viability was examinedd by Trypan blue staining and only flasks in which the viability was >95% were used for in vivo studies.

- 5 The C57BL/10 mouse strain from the research laboratories and incinerators was used, and access to the animal facility is strictly limited to animal users. The animal room has two doors, one serving as the entrance and the other providing direct access to
- 10 washing, sterilization and incineration facilities, which allows an accurate adjustment of environmental parameters including temperature, humidity, ventilation and lighting.

15

EXAMPLE IIITumor cell inoculation and treatment

- Five mice were housed per cage and fed a diet of animal chow and water ad libitum. After one week of acclimatization, LLC cells were transplanted
- 20 subcutaneously, as a suspension of tumor cells ($2-5 \times 10^5$ viable cells/0.1 ml) in the axillary region of the right flank. Animals were subjected daily to general examination. Tumor growth was monitored every second or third day using calipers. Tumor were measured along the
- 25 longest axis (length) and the perpendicular shortest axis (width) and the relative tumor volume (in cm^3) was calculated by the formula: $[\text{Length (cm)} \times (\text{width cm})^2]/2$. When the tumor reached a size of 0.5-1.0 cm^2 (in approximately 2-3 weeks), the mice were randomized
- 30 into three groups.

- In the first group, the mice were subjected to surgery to remove the primary tumor. The mice were lightly anesthetized with Forane. The skin overlying the tumor was cleaned with betadine and ethanol in a
- 35 laminar flow hood. A small skin incision (0.5-1.0 cm)

60149697-082099

was made using a sterile scalpel and the tumor was carefully separated from the normal tissues (skin and muscle). LLC (at an early stage of growth; 1-3 weeks) is a well-localized tumor, and separation was easy to achieve without any significant damage to normal tissues. The tumor was removed, weighed and fixed for histopathology purposes. The wound was closed with surgical stainless steel clips (Autoclips™; 9 mm; Clay Adams, Inc., Parsippany, NJ). The site was further disinfected with Betadine™ and the animal was housed as described earlier.

In the second group, the mice were randomized after surgery into groups of 5 per cage. The cages were randomly assigned to specific experimental groups. The mice were then labeled by numbers using the "ear punching" method. Mice were checked daily to ensure the absence of infection. Animals with discomfort were sacrificed immediately. An additional extra-group of control mice was included to determine the optimal timing for sacrifice in order to obtain a significant number of well localized lung metastases. The second group was subjected to the same experimental procedure as the first group, with the exception of drug treatment. Based on the second group, a period of two weeks after removal of the primary tumor was sufficient to obtain an average of 20-30 nodules on the lung surface. Therefore, a two-week period after primary tumor removal was used to sacrifice treated mice.

EXAMPLE IV

Dosing schedule and treatment

Drugs were given by intraperitoneal (ip) route (0.5 ml per animal) in daily administration after tumor cell inoculation. Control animals were given the same volume of saline solution (0.9% sodium chloride; Abbott

60149697.082099

- 9 -

Laboratories, lot no. 12 455 WS). The dose of each drug was normalized to an average of 20 g/body weight/per animal. The schedules for drug treatment were based upon conditions described in Figs. 3-4.

5

EXAMPLE VAnimal sacrifice, tumor/organs preparation

At the end of each experiment, for a total of 5-8 weeks, animals were sacrificed by cervical dislocation and autopsied. Tumors, organs or both were removed under sterile conditions using a laminar flow hood. Tumors were weighed. Organs (5/group) were examined for gross pathological changes and then fixed in 10% formalin. Lungs were fixed in 10% Bouin's fixative diluted in a formalin solution, and lung surface metastases were counted using a stereomicroscope at 4x magnification or a magnifying-glass.

20

EXAMPLE VIStatistical analysis

The unpaired Student t-test was used to compare statistical significance among various groups.

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the art to which the invention pertains and as may be applied to the essential features hereinbefore set forth, and as follows in the scope of the appended claims.

35

60149697.082099

- 10 -

WHAT IS CLAIMED IS:

1. A purified biologically active extract isolated from *Achillea millefolium*, said extract having an antineoplastic activity.
2. An extract according to claim 1, said extract consisting of a crude methanol extract.
3. The use of an extract according to claim 2, to use or prevent a neoplastic disorder.
4. A non-toxic, biologically active composition to treat or prevent cancer, said composition comprising a purified extract isolated from *Achillea millefolium* having an neoplastic activity, and a suitable carrier.
5. A method for treating or preventing a cancer in a patient susceptible of developing said cancer or suspected of having said cancer, said method comprising administering to said patient a dose of a purified biologically active extract isolated from *Achillea millefolium* efficient to treat or prevent said cancer with a suitable carrier.

60149697.082099

- 11 -

ABSTRACT

The present invention relates to isolated and purified plant extracts. There is provided an isolated and purified extract from *Achillea millefolium* to treat and prevent cancer. The purified fractions were administered to animals in which cancer was induced. The fractions demonstrated antimetastatic activity. Molecules contained in the fractions may also be used to treat and prevent cancer.

650280-26964T09

SampleName: NET-EX-2V. SampleType: Unknown
 Date Acquired: 98/11/22 16:16:43 Vial: 3
 Date Processed: 98/11/22 09:51:13 Volume: 50.00 ul
 Dilution: 1.00000

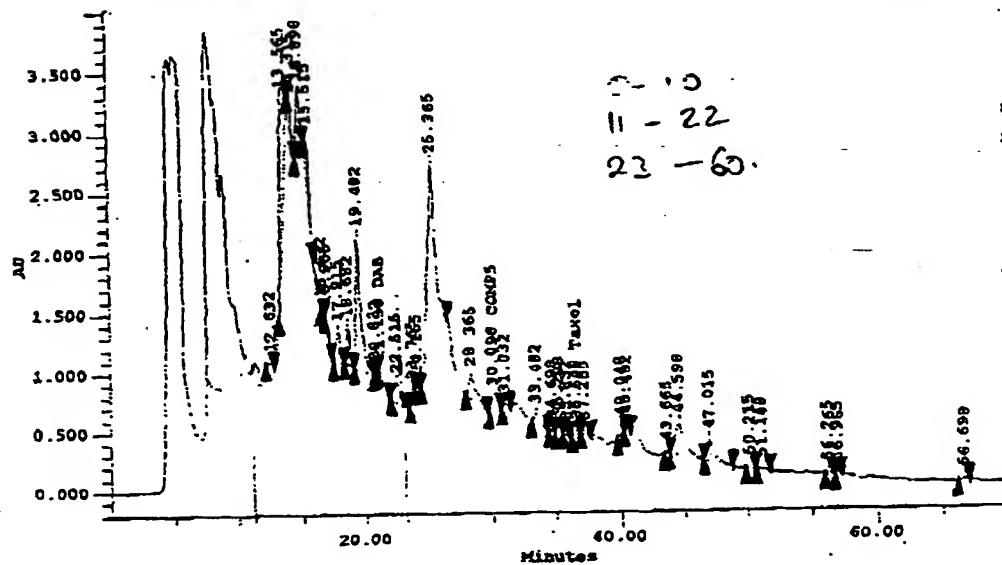


Fig. 1

660280-25964103

65030-034709

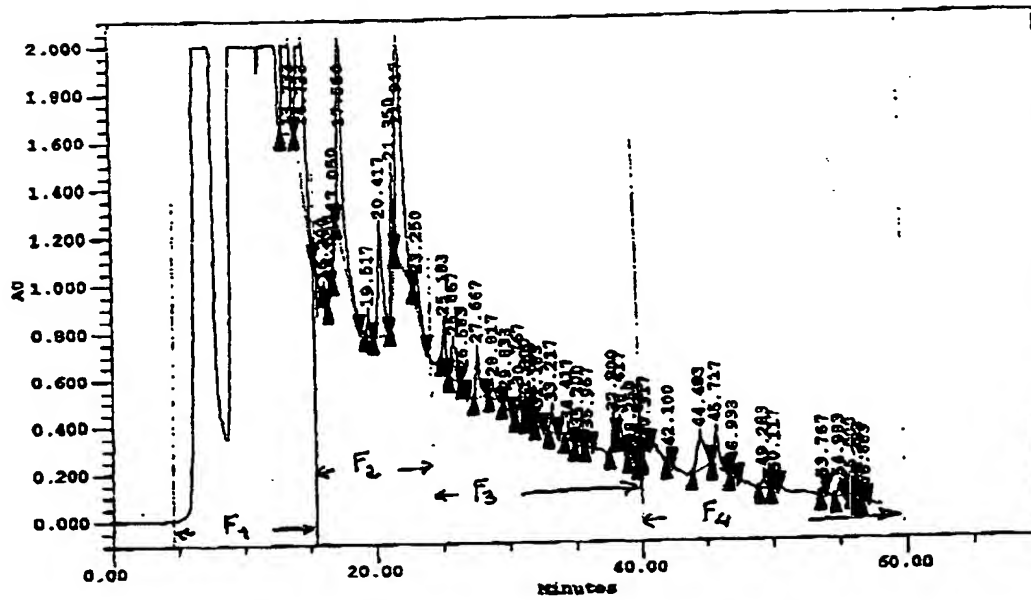
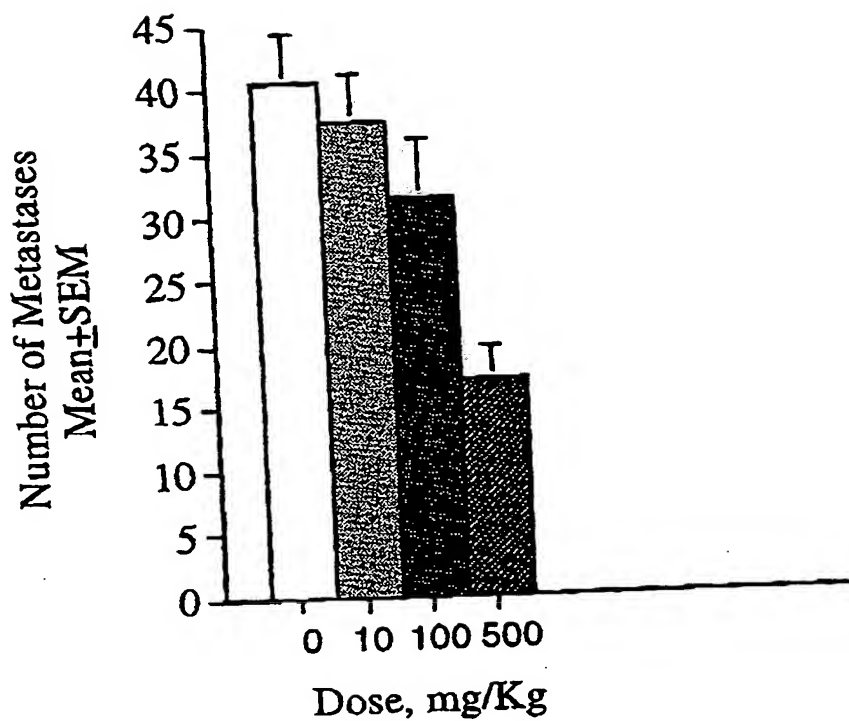


Fig. 2

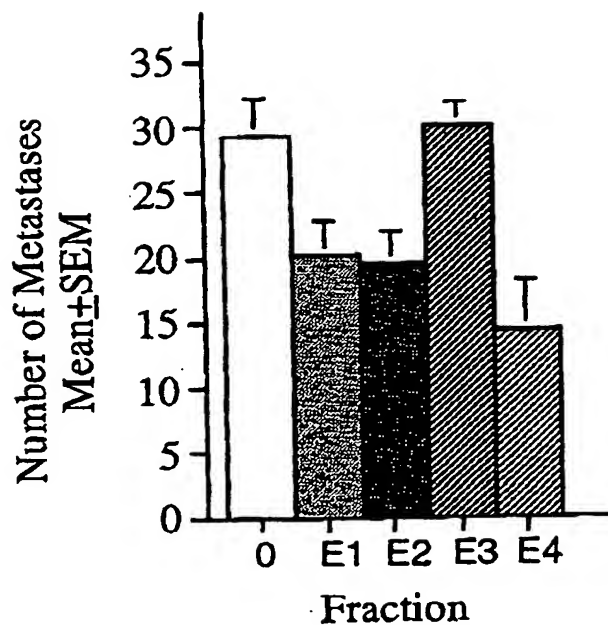
660280-26964T05



7 ip administrations every second day
For each group n=10
No drug-related death was observed

Fig. 3

660280-26964109



E1: 100mg/Kg, 7 ip administrations every second day
 E2: 100mg/Kg, 7 ip administrations every second day
 E3: 100mg/Kg, 7 ip administrations every second day
 E4: 100mg/Kg, 7 ip administrations every second day

ip = intraperitoneal

For each group n=6

No drug-related death was observed

Fig. 4

THIS PAGE BLANK (USPTO)